Multi-channel coupling of decay instability in three-dimensional low-beta plasma

Horia Comișel1,2, Yasuhito Narita3,4, and Uwe Motschmann1,5

1 Institut für Theoretische Physik, Technische Universität Braunschweig, Mendelssohnstr. 3, 38106 Braunschweig, Germany
2 Institute for Space Sciences, Atomiștilor 409, P.O. Box MG-23, Bucharest-Măgurele, 077125, Romania
3 Space Research Institute, Austrian Academy of Sciences, Schmiedlstr. 6, 8042 Graz, Austria
4 Institut für Geophysik und extraterrestrische Physik, Technische Universität Braunschweig, Mendelssohnstr. 3, 38106 Braunschweig, Germany
5 Deutsches Zentrum für Luft- und Raumfahrt, Institut für Planetenforschung, Rutherfordstr. 2, 12489 Berlin, Germany

Correspondence: Horia Comișel (h.comisel@tu-braunschweig.de)

Received: 28 March 2019 – Discussion started: 15 April 2019
Revised: 14 August 2019 – Accepted: 2 September 2019 – Published: 20 September 2019

Abstract. Three-dimensional hybrid simulations have been carried out to verify the hypothesis of simultaneous multi-channel decay of a large-amplitude Alfvén wave in a low-beta plasma, e.g., in the shock-upstream region or the solar corona. Obliquely propagating daughter modes are excited along the perpendicular direction to the mean magnetic field at the same parallel wavenumbers and frequencies as the daughter modes driven by the field-aligned decay. We find that the transversal spectrum of waves is controlled by the multi-channel coupling of the decay process in low-beta plasmas and originates in the dispersion state of the shear Alfvén wave.

1 Introduction

Quasi-parallel-propagating Alfvén waves with circular polarization are frequently observed in various space plasma domains, in particular in the vicinity of the Earth’s bow shock or other planetary bow shocks (see, e.g., Narita et al., 2007), where the shock-reflected ions transfer energy from the ion beam into electromagnetic waves through the ion beam instability (often right-hand resonance in which the gyration of the beam ions is observed as right-hand polarized in the rest frame of the core or thermal plasma). The beam-excited waves attain large amplitudes up to the saturation level of the beam instability and develop into a stage of wave–wave interactions (see, e.g., Gary, 1991; Akimoto et al., 1993; Gomberoff et al., 2000; Wang and Lin, 2003; Li et al., 2013). Parametric instability is thought to play an important role in distributing the fluctuation energy of large-amplitude Alfvén waves into different modes and different wavelengths (see, e.g., Terasawa et al., 1986; Hoshino and Goldstein, 1989; Spangler et al., 1997; Nariyuki and Hada, 2006; Bekhor and Drake, 2003).

The decay instability is a type of parametric instability and is expected in both low- and high-beta plasmas (see, e.g., Inhester, 1990; Vasquez, 1995). We point out that the decay instability in three-dimensional nature has multiple channels at once and can excite daughter waves in both the Alfvén mode and sound (or ion acoustic) mode without further cascade of the daughter waves or before the cascade occurs and the wave develops into turbulence. The reason for the multi-channel coupling lies in the fact that the decay instability in three-dimensional low-beta plasmas occurs simultaneously in various directions imposed by the mean magnetic field and has a degeneration over the directions perpendicular to the mean field. In the analytical dispersion analysis, one typically sets a priori the propagation direction of the daughter wave and studies the growth rate of the decay instability in the wavenumber domain (see, e.g., Derby, 1978; Goldstein, 1978; Longtin and Sonnerup, 1986; Wong and Goldstein, 1986; Hollweg, 1994; Ruderman and Simpson, 2004; Araneda et al., 2007; Brodin and Stenflo, 2015). The situation is different in a higher-dimensional setup; that is, the daughter waves can be excited in various directions at once in...
Earlier studies on the nonlinear interaction between Alfvén waves and obliquely propagating waves (see, e.g., Del Zanna et al., 2001; Verscharen et al., 2012; Gao et al., 2013).

The nature of Alfvén wave decay into oblique-propagation angles has been studied extensively. Viñas and Goldstein (1991a, b), for example, argue in their analytical dispersion analysis with circularly polarized Alfvén waves that the oblique-propagation decay instability can compete against the field-aligned decay in the limit of small propagation or decay angles to the mean magnetic field in the low-beta plasma. Ghosh et al. (1993) confirm in their MHD two-dimensional simulations that obliquely propagating daughter waves are excited by the decay of a field-aligned Alfvén wave for the low-beta regime. The results by Ghosh et al. (1993) are based on a $\beta$ value of 0.5, but nevertheless they demonstrate that the field-aligned decay instability is persistent and dominates the oblique-decay instabilities. Matteini et al. (2010a) discovered by two-dimensional hybrid simulations that linearly polarized Alfvén waves with an oblique direction of propagation decay in low-beta plasmas in a broad spectrum of coupled Alfvén waves and density fluctuations perpendicular to the direction of the mean magnetic field. Matteini et al. (2010a) also noticed that the magnetic daughter modes follow the dispersion relation for a shear Alfvén wave, $\omega = k_i V_A$, where $\omega$, $k_i$, and $V_A$ are the frequency, parallel wavenumber, and Alfvén velocity, respectively.

Here we present a scenario that decay instability can occur simultaneously at various angles to the mean magnetic field, generating a number of second-order fluctuations or waves (after the pump wave as the first-order fluctuation). We refer to the simultaneous decay as “multi-channel couplings” following the notion in scattering theory, for example, coupled channels in nuclear reactions (see, e.g., Tamura, 1969; Tobocman, 1975). Our goal is to study the hypothesis or the scenario of the multi-channel coupling by running a three-dimensional hybrid plasma simulation in a low-beta plasma setup.

2 Hybrid plasma simulation

2.1 Simulation run

A hybrid plasma simulation is carried out to verify the hypothesis of the multi-channel coupling in the low-beta decay instability. We use the AIKEF hybrid code (Müller et al., 2011) in a three-dimensional spatial configuration. The size of the simulation box in each direction is $L = 288d_i$, and $(576)^3$ computational cells are set, containing 1000 super-
particles in each cell. The length scale is normalized to the ion inertia length \( d_i = V_A/\Omega_p \), where \( V_A \) and \( \Omega_p \) are the Alfvén velocity and the ion gyro-frequency (for protons), respectively. The values of ion and electron betas used in the simulation are \( \beta_i = \beta_e = 0.01 \). This low value of beta (the equivalent fluid beta in the simulation is \( \beta = 0.02 \)) ensures a faster linear growth and an earlier nonlinear saturation of the parametric instabilities. The magnetic-field amplitude of the Alfvén pump wave (normalized to the value of the background magnetic field) has a value of 0.2. The simulation is halted at a time of \( t\Omega_p = 600 \), shortly after the saturation of the decay instability.

Figure 2 displays the time evolution of the fluctuation energy for the field-aligned pump wave (Alfvén mode \( A_1 \)) which represents the Fourier mode \((m_\parallel, m_\perp) = (10, 0)\), \((m_\parallel, m_\perp) = k_{\perp}(L/2\pi)\), and the daughter modes \( A_1^{(0)}, A_1^{(1)}, A_1^{(2)} \) and \( S_2^{(0)}, S_2^{(1)}, S_2^{(2)} \), respectively, in the parallel and perpendicular wavenumber domains. Counter-propagating Alfvén daughter modes (to the pump wave propagation direction) and sound daughter modes start to develop simultaneously, and the fluctuation energy increases both along the mean magnetic field and in the oblique directions to the mean field. The mode number for the parallel-propagating Alfvén lower sideband mode is \( m_\parallel = -9 \), while the corresponding sound mode has a mode number of \( m_\parallel = 19 \), satisfying the three-wave coupling rule. An exponential growth of the fluctuation energy represents the linear stage of the decay instability. Both parallel (or field-aligned) propagating waves and obliquely propagating waves grow simultaneously. The slope of the wave growth is nearly the same between the magnetic mode and the sound mode at lower propagation angles to the mean magnetic field (typically up to 40°).

The decay instability then becomes saturated at a time of \( t\Omega_p = 300 \). It is interesting to note that the pump Alfvén wave still dominates the fluctuation energy in the system. The low level of the amplitude of the magnetic field and density daughter modes in Fig. 2 could be a consequence of the lower level of the fluctuation background developed in the three-dimensional system with respect to that one developed in a two-dimensional system with equivalent characteristics. The obliquely propagating modes are strong enough and compete against the parallel-propagating modes in the linear stage. At later times (\( t\Omega_p > 300 \)) the fluctuation energy decreases at larger propagation angles to the mean field.

Multi-channel coupling in the decay instability is identified in the spectral domain over the perpendicular wavenumbers. The energy spectra for the magnetic field fluctuations, \( \delta B^2(\omega, k) \), and that of the density, \( \delta n^2(\omega, k) \), are plotted as slices in the frequency–wavenumber domain parallel to the mean magnetic field (Fig. 3a) and in the wave–vector domain spanning the perpendicular component \( k_\perp \) and the parallel component \( k_\parallel \) (Fig. 3b). The spectral analysis is performed after the saturation of the instability at the latest time \( t\Omega_p \approx 600 \) of the simulation. The pump wave is in the Alfvén mode and propagates along the mean magnetic field \((k_\perp = 0)\). Daughter Alfvén waves and daughter sound waves appear in the wavenumber–frequency spectrum at \( k_\parallel V_A/\Omega_p \sim -0.2 \) and \( k_\parallel V_A/\Omega_p \sim 0.4 \), respectively. The magnetic field and density fluctuations in Fig. 3 (bottom panel) are analyzed at the frequency of the field-aligned daughter modes and differ in the perpendicular wavenumbers (or in the propagation angles). The obliquely propagating Alfvén modes share the same frequency as that of the field-aligned Alfvén daughter wave. These fluctuations along the perpendicular direction to the mean magnetic field are daughter waves in the Alfvén mode resulting from the multi-channel coupling of the decay process. The daughter sound modes with oblique propagation have the same frequency as the parallel-propagating daughter mode \( (\omega \sim k_\parallel V_A\sqrt{\beta} \approx 0.05\Omega_p) \) and thus fulfill the three-wave coupling conservation law. One can mention that in the limit of low-beta plasmas \( (\beta \ll 1) \), these oblique compressive waves are still normal modes of plasma.

2.2 Growth rate estimate

Growth rates for the individual decay instability can be computed from the hybrid simulation and are compared to that of the analytical dispersion analysis of the two-fluid model developed by Viñas and Goldstein (1991a, b). Briefly, the instability analysis of Viñas and Goldstein (1991a, b) consists in the following. By using the bi-fluid magnetohydrodynamic model, a linear perturbation of linear-mode waves is
applied to study the stability of the large-amplitude circularly polarized Alfvén wave. Sideband electromagnetic waves are driven at upper and lower sideband frequencies obeying resonant wave–wave interaction rules. A set of linear equations in the perturbed quantities is obtained, satisfying the resonance condition, while higher sidebands are neglected. We consider the oblique modes at non-zero propagation angles to the mean magnetic field. The determinant of the matrix system is on order 78 in terms of the frequency normalized by using the Alfvén speed \( V_A \) and the ion gyro-frequency \( \Omega_p \) for protons, \( \omega = \omega/(k_0 V_A) = \omega_0 + i \gamma \). The elements of six-by-six order matrices can be found in the Appendix in Viñas and Goldstein (1991a). The dispersion relation \( \omega(k) \) and the growth rate \( \gamma(k) \) are obtained by solving the determinant of the matrix. We choose a low-beta value of 0.02 in the semi-analytical calculation. The solutions are numerically solved by using the symbolic calculator (Mathematica). We denote that the pump wave has the wavenumber \( k_0 \) and the frequency \( \omega_0 \), the longitudinal daughter wave \( k \) and \( \omega \), and the Alfvén (sideband) waves \( k^\pm \) and \( \omega^\pm \). We assume that all the participating waves (pump wave, longitudinal daughter wave, and sideband wave) satisfy the coupling rules, that is,

\[
\begin{align*}
\gamma^\pm &= \gamma_0 \pm \gamma \\
\omega^\pm &= \omega_0 \pm \omega 
\end{align*}
\]

Figure 4 displays the growth rate \( \gamma(k) \) as a function of the wavenumber domain in panel (a) for propagation angles of 0° (solid), 10° (dotted), 20° (dashed), and 30° (dashed dotted) estimated by the analytical dispersion analysis (a). Overplotted by diamond, triangle, square, and cross symbols are the growth rates obtained in the three-dimensional hybrid simulation for the above propagation angles, respectively. Panel (b) shows the same comparison at larger propagation angles, 45°, 60°, and 65°.
3 Discussion and conclusions

The damping of the oblique daughter waves observed in Fig. 2 can be explained in terms of the wave-particle processes (e.g., pitch-angle scattering, cyclotron resonance, or Landau damping) in competition with the plasma turbulence evolution. We have computed the time evolution of the spectrum of the magnetic field and density fluctuations. In the late stages of the fluctuation evolution, the one-dimensional reduced spectra (not shown) along the perpendicular wavenumber reveal turbulence cascades (by the fact that a power-law spectrum is being formed) starting from maxima at $k_\perp = 0$ specifically for low-beta plasma turbulence. The spectral slope along the parallel wavenumber is flatter in the low wavenumber range and becomes steeper at larger values beyond the pump wavenumber. This spectral anisotropy at low and moderate wavenumbers favors the parallel-propagating modes which remain persistent at the latest stage of the decay process.

The oblique electromagnetic daughter waves in Fig. 3 are followed by corresponding fluctuations in the wavenumber–frequency density spectrum (not shown). Viñas and Goldstein (1991a, b) in their analytical study found that the coupling between the electrostatic and electromagnetic solutions increases with the increase in the propagation angle of the daughter wave. On the basis of this remark, we naively interpret this result by interchanging the density daughter modes with the sideband electromagnetic waves. At large oblique propagation angles, the density daughter modes are electromagnetic waves with fluctuation in both density and electromagnetic fields, while the sideband waves become electrostatic waves. Thus, the three-wave coupling rule is fulfilled. The obliquely propagating modes develop into a turbulent cascade which saturates the instability at a stage when the Alfvén pump wave still dominates the spectrum. A modulation-like process is observed at early times close to the pump wavenumber and saturates before the saturation of the decay instability. The modulation is exclusively oblique and can be reminiscent of the beat instability. This additional parametric instability and the consequences for the decay instability and its early saturation are still under study.

Matteini et al. (2010a) first noticed the occurrence of a perpendicular spectrum of daughter waves developed at the decay of a linearly polarized Alfvén pump wave with oblique direction of propagation to the background magnetic field. The authors concluded that the generation of obliquely propagating daughter waves is a consequence of the obliqueness of the Alfvén pump wave, i.e., its finite perpendicular wavenumber, in the framework of the three-wave coupling process. Our three-dimensional treatment is simplified with respect to that former study in the sense that the pump wave is circularly polarized and propagates parallel to the mean magnetic field. Thus we can make useful the predictions of the MHD analytical analysis for the dispersion relations and the growth rates of the decay instability at different propagation angles. The dispersion relation for left-handed circular polarization, $(k V_A/\Omega_p)^2 = (\omega/\Omega_p)^2/(1 - \omega/\Omega_p)$, is satisfied for both the Alfvén pump wave (as an imposed initial condition) and Alfvén daughter modes. The value of the pump wavenumber, $k_0 V_A/\Omega_p = 0.21$, is fairly high with respect to typical MHD scales, but still it can be assumed to be in the dispersionless range on the first order. The analytical dispersion analysis at weak and moderate oblique propagation angles provides the largest growth rates at approximately the same parallel wavenumber as that one predicted for the field-aligned decay. This result can be easily understood by introducing the three-wave coupling equations and the dispersion relations:

$$k_{\parallel}^2 = k_0^2 - k_\perp^2,$$  
$$k_{\perp}^2 = k_\perp^2,$$  
$$\omega_{\perp} = \omega_0 - \omega,$$  

where $(k_0, \omega_0 = k_0 V_A)$, $(k_{\parallel}, k_{\perp}, \omega_{\perp} = -k_{\perp} V_A)$, and $(k_{\parallel}, k_{\perp}, \omega)$ are the wavenumbers, frequencies, and dispersion relations characterizing the Alfvén pump, Alfvén daughter, and ion acoustic waves, respectively. The MHD slow mode still preserves the field-aligned dispersion relation at small and moderate propagation angles in the limit of low-beta plasmas, namely, $\omega_{\text{slow}} = k_{\parallel} V_A \sqrt{\beta}$ (here, $\beta = c_s^2/V_A^2$). Therefore, the sound daughter modes with oblique propagation are normal modes of plasma like their counterpart oblique Alfvén modes, and they fulfill similar dispersion relations, i.e., $\omega = k_{\parallel} c_s$. Equations (3)–(5), the dispersion relations, and some elementary algebra provide the parallel wavenumber of the oblique ion acoustic wave,

$$k_{\parallel} = \frac{2k_0}{1 + c_s/V_A} \equiv k(0),$$

where $k_0$ is the wavenumber of the sound daughter driven by the field-aligned decay (see, e.g., Spangler et al., 1997). The overall decay process is not controlled by the field-aligned decay but by the dispersion relation of the participating waves which drives the oblique decay to share identical parallel wavenumbers to those attained in the parallel decay. The above rough evaluation is validated by both the analytical analysis in the MHD framework and by the hybrid simulation applied in the present study. In the analytical analysis, the evolution of the daughter waves is determined by the interaction with the field-aligned pump wave by constructing a system of quasi-linear equations which exhibits wave–wave couplings generating obliquely propagating waves as the daughter component. The growth rate of the oblique modes generally has a maximum value at the parallel wavenumber prescribed by the maximum growth rate of the field-aligned decay instability and depends on the propagation angle.

However, we still point out that the field-aligned decay remains in any case the fastest one, as shown in Fig. 4. Also,
this dynamics is different from the case of an oblique pump wave propagating at a given theta angle with respect to $B_0$, where the oblique mode’s decay rate gamma is found to scale $\cos \theta B$, so controlled by the $k_\parallel$ projection of the initial oblique wave vector (Del Zanna, 2001). This suggests then that there are two possible ways of generating oblique modes from the parametric decay: (1) from a purely parallel mother wave, as in this study; and (2) from an oblique pump wave (see, e.g., Matteini et al., 2010a). In both cases the oblique modes grow at a rate that is smaller than the parallel decay. In our study the central role is played by the conservation of frequencies and the dispersion relations of the components involved in the three-wave coupling. Matteini et al. (2010a) show that in their analysis, the central role in driving the transversal spectrum is played by the conservation of the momentum and the non-zero perpendicular projection of the pump wave vector. An obliquely propagating (pump) wave is expected to be more compressive, and it can generate a broadband spectrum of compressive fluctuations. Thus, the configuration with an oblique pump wave should be more efficient in driving oblique modes, even though the daughter waves in our study are driven earlier after a short time of nonlinear evolution. On the other hand, one should not ignore the major differences in the involved setups, e.g., the different polarization of the pump wave and different $\beta_p$ values (see discussion below). Besides the plasma beta, the wavenumber of the pump wave may play a significant role in the process of driving the transverse broadband modulation. In both studies, the medium is still weakly dispersive ($k_0 V_A/\Omega_p \sim 0.2$). In a more dispersive medium such as that one used by Verscharen et al. (2012), the dispersion relations probably cannot construct a transversal spectrum of waves for the decay of a field-aligned Alfvén wave.

Unlike MHD simulations, where the instability can saturate only through the steepening of the excited sound waves, in hybrid simulations it saturates via particle trapping and phase-space modulation (see, e.g., Matteini et al., 2010b). A consequence of this dynamics is a significant perturbation of the ion velocity distribution function, leading also to the generation of field-aligned beams (see, e.g., Araneda et al., 2008). In low-beta plasmas, the decay instability dominates over the other parametric instabilities. Plasma beta values for both ions and electrons also play an important role in the dynamics of the parametric decay. For instance, $\beta_p$ is responsible for activating different saturation mechanisms. Matteini et al. (2010b) reported that for cold fluid electrons ($\beta_e \sim 0$), the MHD saturation mechanism is recovered. At larger values (e.g., $\beta_e \sim 0.1$), the trapping and beam formation is in use. The electron plasma beta $\beta_e$ in our simulation has an intermediate value of 0.01. The parallel distribution in the phase space $z - v_\parallel$ (not shown) at a time still close to the linear phase of the instability ($t \Omega_p = 300$) suggests that particles are confined and accelerated in different regions along the parallel axis to the mean magnetic field. The phase-space modulation can be a signature for the instability saturation. There is no evidence of a field-aligned velocity beam at the latest time of the simulation. The accelerated particles are thermalized via pitch-angle diffusion by the developed oblique modes.

A consequence of the oblique parametric decay in three-dimensional plasmas is a more efficient heating of the ions; see, e.g., the pitch angle scattering study by Comisel et al. (2018). Their conclusion based on a hybrid simulation by using similar parameters to the actual run was that the plateau levels observed in the proton velocity distribution functions are driven by the pitch-angle diffusion of the ions by obliquely propagating modes. The spectral analysis performed in Fig. 3 in the frequency–wave-vector domain over a broad range of oblique propagation angles proves that the multi-channel decay of the parallel-propagating Alfvén pump wave is the source of obliquely propagating daughter waves assumed to satisfy the resonance condition with ions. The former and actual results reconfirm in particular the role of oblique compressive daughter waves in heating the protons parallel and perpendicular to the mean magnetic field according to the in situ observation in solar wind plasmas (see, e.g., Marsch and Tu, 2001).

We have studied by three-dimensional hybrid simulations the decay of a large-amplitude Alfvén pump wave expected to achieve the largest growth rate for parallel propagation and circular polarization. Our conclusions are drawn below.

- The parallel-propagating Alfvén wave decays into a transversal spectrum of daughter waves. The oblique decay is controlled by the growth rates of the decay instability which are significantly larger at small and moderate propagation angles in low-beta plasmas, in agreement with the analytic analysis.

- The transversal spectrum of daughter waves is controlled by the dispersion relation under the constraint of the three-wave coupling process. Thus, the perpendicular alignment to the mean magnetic field originates in the dispersion state of the genuine circularly polarized Alfvén wave.

Kinetic effects are assumed to become more important at larger propagation angles. Their role correlated with the value of the plasma $\beta$ parameter will be the subject of a forthcoming study on the decay instability in three-dimensional plasmas.

Data availability. Data from our hybrid simulations are stored at the Institut fuer Theoretische Physik – Technische Universitaet Braunschweig. Data can be obtained by writing to the following email addresses: h.comisel@tu-braunschweig.de or comisel@spacescience.ro.

Author contributions. HC worked on theory development, simulations, and manuscript writing. YN worked on discussion and
manuscript writing. UM worked on theory development, discussion, and coordination of the simulation study.

Competing interests. The authors declare that they have no conflict of interest.

Acknowledgements. Horia Comișel acknowledges Yasuhiro Naruiyuki for fruitful discussions and the hospitality at the University of Toyama. We also acknowledge support by the German Research Foundation and the Open Access Publication Funds of the Technical University of Braunschweig. The work conducted by Horia Comișel in Bucharest is supported by ESA project MAGIC5, PRODEX contract C400127660. The authors gratefully acknowledge the Gauss Centre for Supercomputing e.V. for funding this project by providing computing time through the John von Neumann Institute for Computing (NIC) on the GCS Supercomputer JUWELS at the Jülich Supercomputing Centre (JSC). Furthermore, we are grateful to the anonymous referees for their valuable suggestions and evaluation of this paper.

Financial support. This research has been supported by the DFG (grant no. MO539/20-1).

This open-access publication was funded by Technische Universität Braunschweig.

Review statement. This paper was edited by Vincent Maget and reviewed by two anonymous referees.

References


